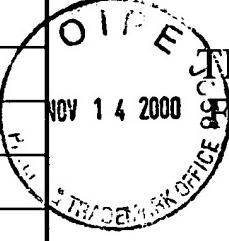


Sector ✓
89

| | | |
|--|-----------------------|---|
| Applicant | S. Sarnikowski et al. |  TRANSMITTAL FORM UNDER 37 CFR 1.8 (LARGE ENTITY) |
| Serial No. | 09/513,937 | |
| Filing Date | February 28, 2000 | |
| Group Art Unit | 2739 | |
| Examiner Name | Unknown | |
| Attorney Docket No. | 100.II2US02 | |
| Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK | | |

Commissioner for Patents
ATTN: BOX MISSING PARTS
Washington, DC 20231

Enclosures

The following documents are enclosed:

- A Communication Re: Missing Parts (1 p.);
 A Copy of the Notice to File Missing Parts of Nonprovisional Application (1 pp.);
 5 Signed Combined Declaration and Power of Attorney documents (each document is 3 pp.);
 A Request for Corrected Filing Receipt and attachment thereto (2 pp.);
 A check in the amount of \$808 for the filing fee;
 A check in the amount of \$130 for the Missing parts surcharge; and
 An itemized return-receipt postcard.

Please charge any additional fees or credit any overpayments to Deposit Account No. 501373.

Fee Calculation

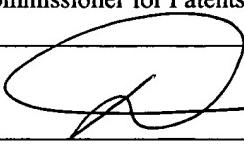
| | | | | | | | Filing Fee \$710 | |
|--|-----------------------------|-----|---|-------------------------|---|------------|-----------------------------|-----------------|
| | Number of Claims | | | Extra Claims | | Fee | | Fee Paid |
| Total Claims | 21 | -20 | = | 1 | X | \$ 18 | = | \$ 18 |
| Independent Claims | 4 | -3 | = | 1 | X | \$ 80 | = | \$ 80 |
| Multiple Dependent Claims Presented | | | | | | \$270 | = | N/A |
| | | | | | | | Total | \$808 |

Submitted By

| | | | | | |
|-----------|---|----------|--------|-----------|------------------|
| Name | David Fogg | Reg. No. | 35,138 | Telephone | (612) 252-0014 |
| Signature |  | | | Date | November 9, 2000 |

Certificate of Mailing

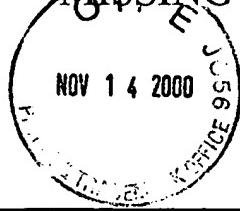
I certify that this correspondence, and the documents identified above, are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Attn: Box Missing Parts, Washington, D.C. 20231 on November 9, 2000.

| | | | |
|------|---------------|-----------|--|
| Name | David N. Fogg | Signature |  |
|------|---------------|-----------|--|

| | |
|--|-----------------------|
| Applicant | S. Sarnikowski et al. |
| Serial No. | 09/513,937 |
| Filing Date | February 28, 2000 |
| Group Art Unit | 2739 |
| Examiner Name | Unknown |
| Attorney Docket No. | 100.112US02 |
| Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK | |

COMMUNICATION RE:

MISSING PARTS



NOV 14 2000
U.S. PATENT & TRADEMARK OFFICE

Commissioner for Patents
 Attn: Box Missing Parts
 Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed April 24, 2000 (copy enclosed), submitted herewith are the executed Combined Declaration and Power of Attorney documents by the named inventors. Also enclosed is a check in the amount of \$130.00 for the missing parts surcharge and a check in the amount of \$808.00 for the filing fee. The application should now be in condition for examination. Please direct any inquiries to the undersigned attorney.

Please charge any further fees deemed necessary or credit any overpayment to Deposit Account No. 501373.

Respectfully submitted,



 David N. Fogg
 Reg. No. 35,138

DNF:ldg

Attorneys for Applicant
 Fogg Slifer & Polglaze, PA
 P.O. Box 581009
 Minneapolis, MN 55458-1009
 Tel: 612/252-0014
 Fax: 612/252-0019

FORMALITIES LETTER



OC00000005071393

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/513,937 | 02/28/2000 | Scott Sarnikowski | 500.719US2 |

Schwegman Lundberg Woessner & Kluth P.A.
Post Office Box 2938
Minneapolis, MN 55402



Date Mailed: 04/24/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$96.
 - \$18 for 1 total claims over 20.
 - \$78 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 916.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/16/2000 MMNNE1 00000032 09513937
01 FC101
02 FC102
03 FC103
04 FC104

88888
710.00
130.00
60.00
16.00

| | |
|--|-----------------------|
| Applicant | S. Sarnikowski et al. |
| Serial No. | 09/513,937 |
| Filing Date | February 28, 2000 |
| Group Art Unit | 2739 |
| Examiner | Unknown |
| Attorney Docket No. | 100.112US02 |
| Title: SERVICE DELIVERY UNIT FOR AN ENTERPRISE NETWORK | |

REQUEST FOR
CORRECTED FILING
RECEIPT

NOV 14 2000
U.S. PATENT & TRADEMARK OFFICE 99-112

Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby make a request for correction of the Filing Receipt with respect to the above-identified application. In the Filing Receipt mailed April 24, 2000 (copy enclosed), the **Attorney Docket No.** should be changed to **100.112US02**. Address of Applicants' Representatives should be changed to: **FOGG SLIFER & POLGLAZE, P.A., P.O. BOX 581009, MINNEAPOLIS, MN 55458-1009**.

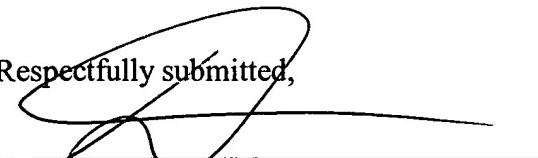
In the ***Continuing Data*** the information incorrect. It should read as follows:

THIS APPLN IS A **CONT OF 09/342,682 06/29/99**

A copy of the Filing Receipt with the changes marked in red and highlighted is enclosed. Applicants would appreciate that a new "corrected" Filing Receipt be sent to Applicants' Representatives at the below address.

Date: November 9, 2000

Respectfully submitted,



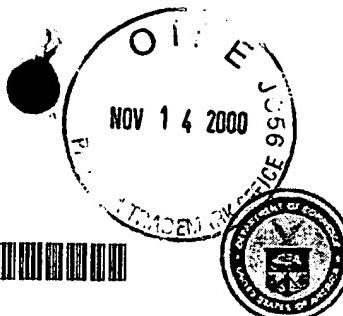
David N. Fogg
Reg. No. 35,138

Attorneys for Applicant
Fogg Slifer & Polglaze, PA
P.O. Box 581009
Minneapolis, MN 55458-1009
T - 612/252-0014
F - 612/252-0019

FILING RECEIPT

OC00000005071392

NOV 14 2000

**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|---------------------------|----------|------------|------------|
| 09/513,937 | 02/28/2000 | 2739 | 0 | 500-719US2 100-112US02 | 4 | 21 | 4 |

Schwegman Lundberg Woessner & Kluth P.A.
Post Office Box 2938
Minneapolis, MN 55402

FOGG SLIFER + POLGLAZE, P.A.
P.O. Box 581009
Minneapolis, MN 55458-1009

✓ 28 MAY 2000 - 3 WO. DS

Date Mailed: 04/24/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Scott Sarnikowski, San Jose, CA ;
Anirudha Chinmulgund, San Jose, CA ;
Sanjay Ravindra, San Jose, CA ;
Manoj Kulkarni, Cupertino, CA ;
Anil Lakhwara, San Francisco, CA ;
John Litster, Beaverton, OR ;
Harikrishna W. Hirani, Los Altos, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A DIV OF 09/342,682 06/29/1999

CONT

Foreign Applications**If Required, Foreign Filing License Granted 04/24/2000**

**

Title

Service delivery unit for an enterprise network

Schwegman, Lundberg,
Woessner & Kluth P.A.**Preliminary Class**

370

MAY 01 2000

RECEIVED